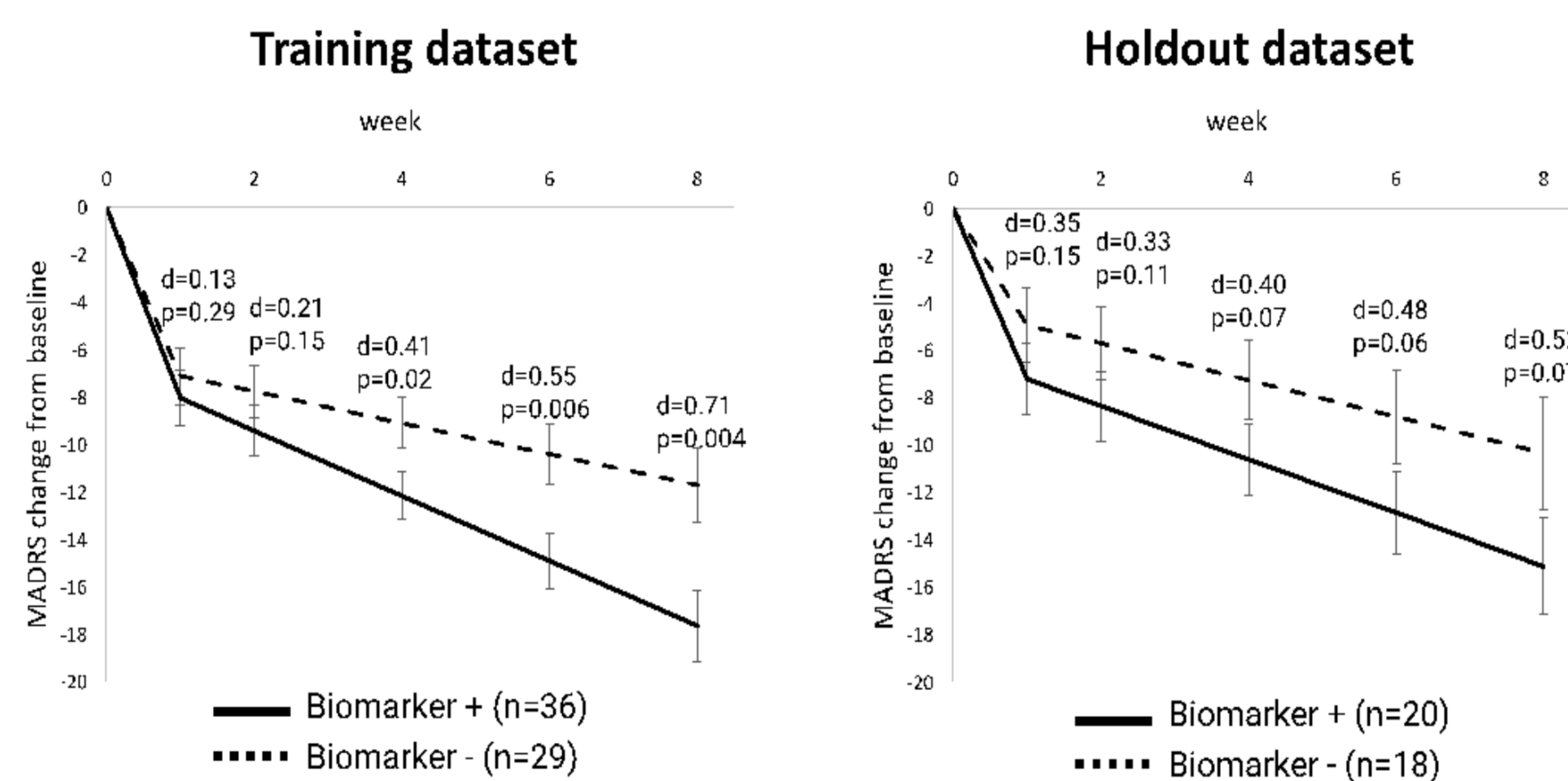


# Gamma Band EEG Sample Entropy, a Patient Selection Biomarker for ALTO-300, is Increased by Dopamine Depletion in Humans and Mice

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## 1. Introduction

- Major depressive disorder (MDD) is a complex and heterogeneous condition. Targeting independent, biomarker-defined patient populations has the potential to improve treatment outcomes.
- Our completed ALTO-300 (agomelatine) Phase 2a trial in MDD identified a reproducible, readily scalable and easily administered machine learning-derived electroencephalography (EEG) biomarker, which was further validated through prospective replication.



- The ALTO-300 biomarker, measured by gamma band sample entropy, is indicative of reduced neural signaling stability. Higher values indicate decreased neural connectivity and greater EEG irregularity.
- Dopaminergic circuits are critical for stabilizing neural representations and network dynamics; disruptions in these pathways are hypothesized to manifest as aberrantly reduced neural signal regularity, detectable via scalp EEG.
- We hypothesized that reducing dopamine levels would increase gamma band sample entropy, consistent with the notion that elevated sample entropy indicates reduced dopaminergic tone.
- We analyzed data from two studies designed to manipulate dopamine levels, with the specific goal of assessing resultant changes in sample entropy:
  - (1) using acute dopamine precursor depletion (APTD) in humans.
  - (2) employing alpha-methyl-p-tyrosine (AMPT) in mice.

## 2. Study Design – APTD Human Study

- Data from a previously conducted study of twelve healthy male participants aged 18–30 years were enrolled in a double-blind, placebo-controlled, crossover dietary dopamine depletion study.
- In a counterbalanced design, participants consumed both a nutritionally balanced amino acid mixture (placebo) and a mixture deficient in tyrosine and phenylalanine (APTD) separated by 7 days.
- Approximately four hours after ingestion, EEG data were recorded during a cognitive task, and gamma band sample entropy was calculated from the continuous recordings.

## 3. Study Design – AMPT Mice Study

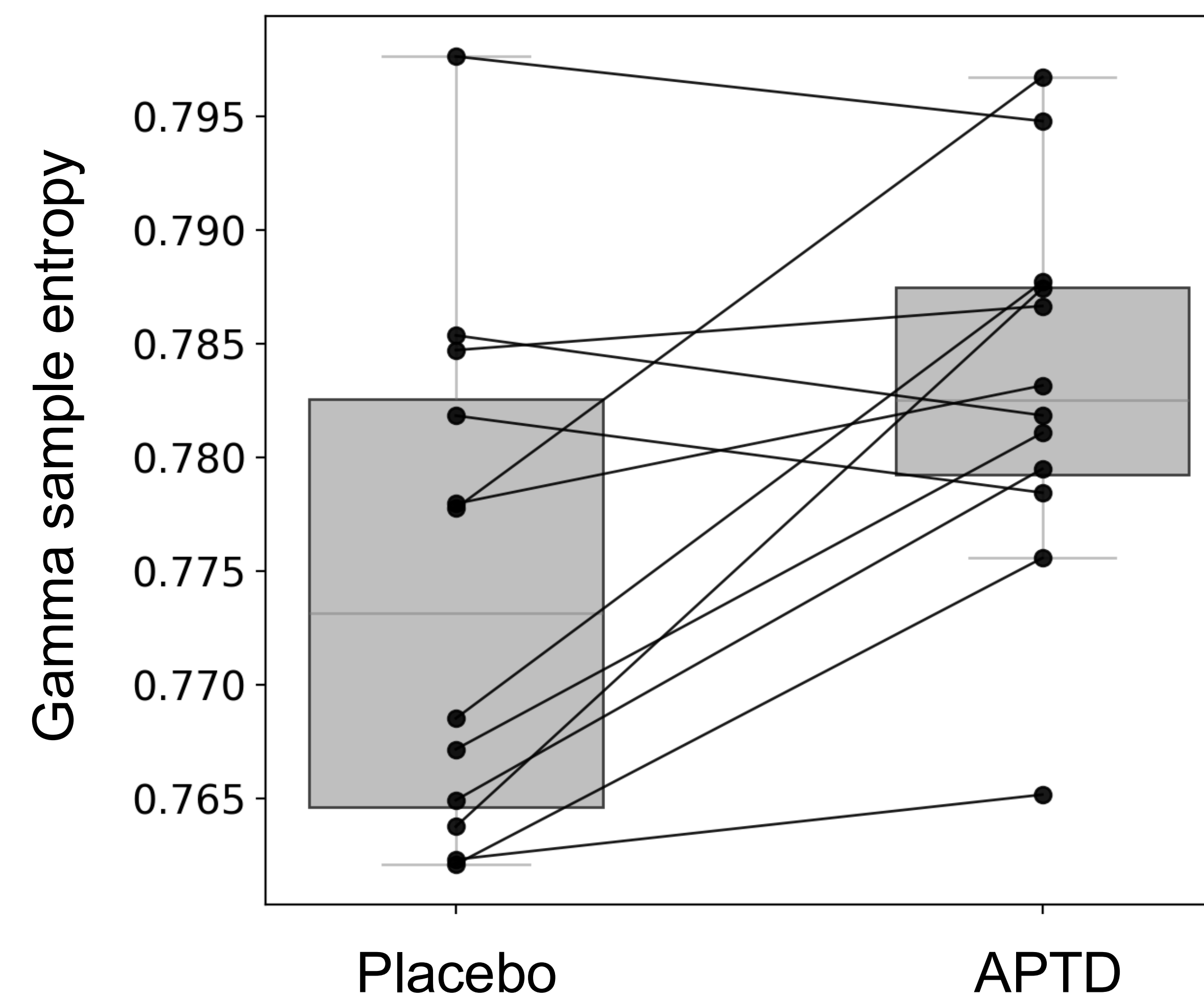
- EEG was recorded from nine male mice from frontal and parietal transcranial screws.
- Each animal received either a dose of AMPT (50, 100, or 150 mg/kg) or a vehicle control, in a randomized sequence.
- Drugs were administered at 10AM each day (7AM – 7PM light cycle).

## 4. Data Analysis

- Mixed-effects models were used to assess the treatment effects on EEG sample entropy, comparing treatment and placebo (or vehicle) while accounting for individual variability.

## 5. APTD Increases Gamma Sample Entropy in humans

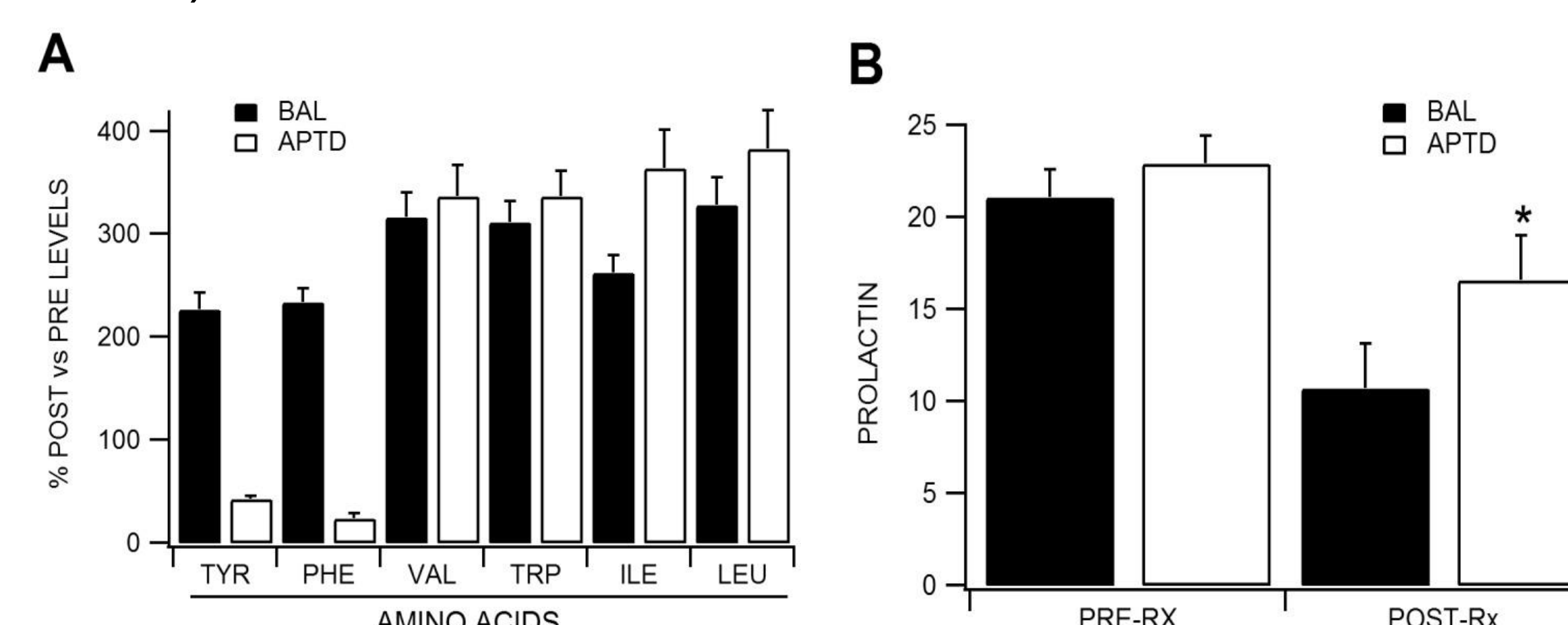
APTD significantly increased gamma-band sample entropy compared to placebo (Cohen's  $d=0.94$ ,  $p=0.006$ ).



## 6. APTD treatment in humans

**A)** APTD treatment depletes the essential amino acid precursors required for dopamine synthesis.

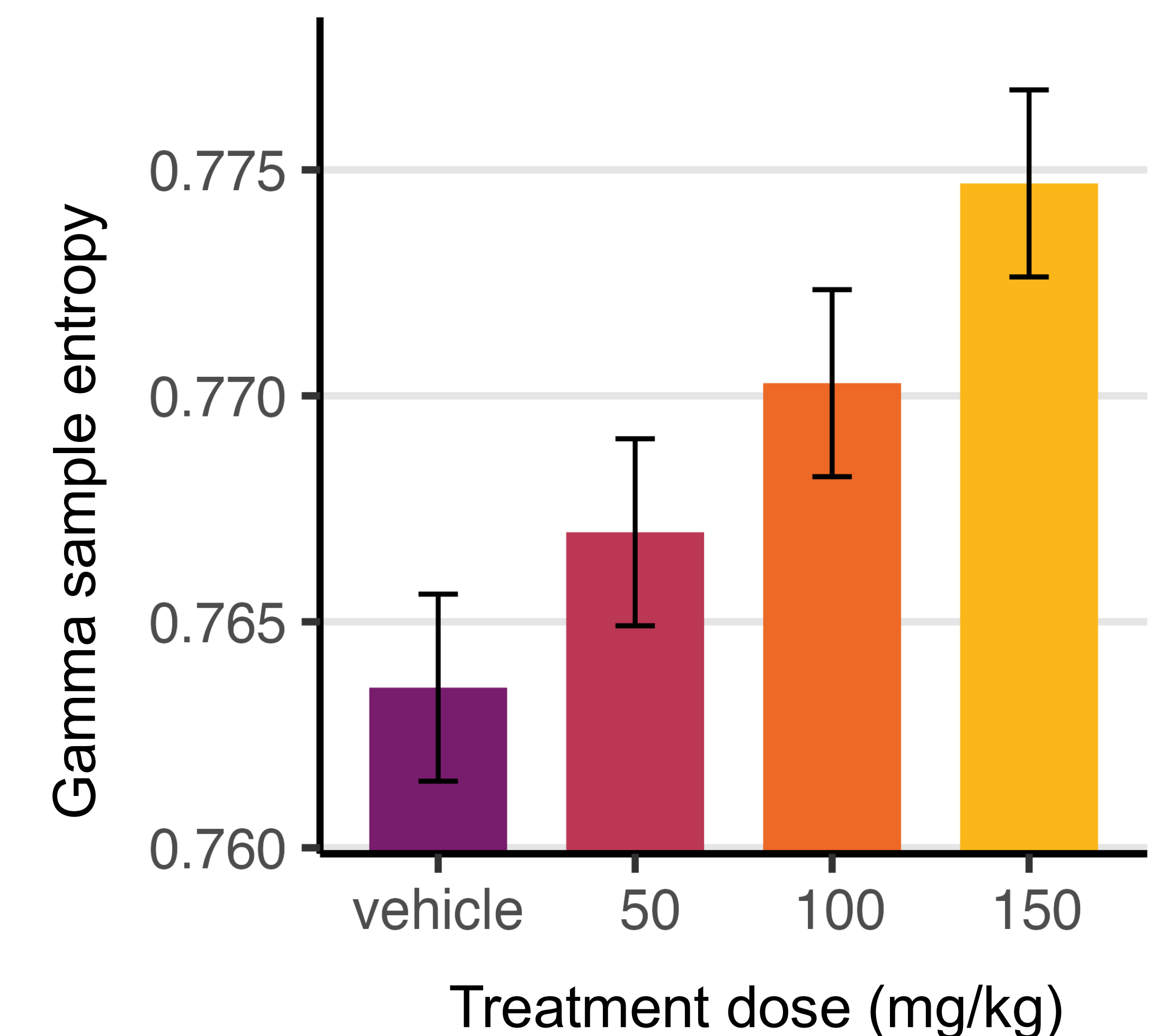
**B)** Since dopamine exerts an inhibitory action on prolactin release in the hypothalamus, increased prolactin levels are indicative of a decrease in dopamine transmission (reprinted from the original publication reporting these data).



Larson, M. J., Clayton, P. E., Primosch, M., Leyton, M., & Steffensen, S. C. (2015). The Effects of Acute Dopamine Precursor Depletion on the Cognitive Control Functions of Performance Monitoring and Conflict Processing: An Event-Related Potential (ERP) Study

## 7. AMPT Increases Gamma Sample Entropy in mice

Sample entropy values were computed in 10-seconds windows and averaged from 30 – 90 minutes post-dose.



## 8. AMPT treatment in rats

- AMPT directly inhibits tyrosine hydroxylase, the enzyme that converts tyrosine to L-DOPA, thereby reducing dopamine synthesis pharmacologically.

## 9. Conclusions

- Both the APTD study in humans and the AMPT study in mice demonstrate that reducing dopamine availability increases gamma band sample entropy, an index of EEG signal irregularity.
- These results provide mechanistic evidence that elevated gamma sample entropy may reflect reduced dopaminergic tone.
- ALTO-300 has been shown to increase dopamine release and stabilize neural signaling. Dopamine depletion drives the biomarker change, as measured by gamma sample entropy.
- These findings demonstrate the link between the mechanism of ALTO-300 and the EEG biomarker used to identify patients who are more likely to be responders in Alto's ongoing Phase 2b trial of ALTO-300 in MDD.

## 10. Acknowledgments

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